



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John S. HENDRICKS et al.

Atty. Docket No. 026880-00011

Serial No.: 09/441,892

Examiner: Ronald Laneau

Filed: November 17, 1999

Art Unit: 3627

For: ELECTRONIC BOOK HAVING ELECTRONIC COMMERCE FEATURES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date: September 28, 2006

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached Form PTO-SB08. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

☐ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.

☒ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

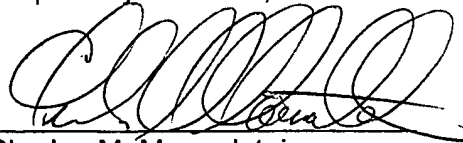
☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☒ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The references were cited in corresponding foreign applications and related U.S. and foreign applications.
- ☒ 5. Copies of the foreign patent documents and the publications are on the attached CD.

In the event that any fees are due with respect to this paper, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 01-2300, referencing Atty. Docket No. 026880-00011.

Respectfully submitted,



Charles M. Marmelstein
Registration No. 25,895

Customer No. 004372
ARENT FOX PLLC
1050 Connecticut Avenue, N.W.,
Suite 400
Washington, D.C. 20036-5339
Tel: (202) 857-6000; Fax: (202) 638-4810

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Substitute for form 1449A/PTO			Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO/SB/08a			Application Number	09/441,892		
			Filing Date	November 17, 1999		
			First Named Inventor	John S. HENDRICKS et al.		
			Art Unit	3627		
			Examiner Name	Ronald Laneau		
Sheet	1	of	Attorney Docket Number	026880-00011		
PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

		3,869,873		n/a	Ringstad	
		3,891,792		n/a	Kimura	
		3,978,470		n/a	McGuire	
		4,071,697		01-1978	Bushnell et al.	
		4,197,590		4/8/1980	Sukonick, et al.	
		4,251,671		n/a	Reed et al.	
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		4,398,216		n/a	Field et al.	
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		4,644,470		Feb-87	Feigenbaum et al.	
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		4,668,218		5/26/1987	Virtanen	
		4,668,246		n/a	Eilers et al.	
		4,673,976			Wreford-Howard	

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		4,688,218		8/18/1987	Bliveau et al.	
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		4,691,109		10/2/1990	Tanaka	
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		4,706,121		n/a	Young	
		4,712,105		12/8/1987	Kohler	
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		4,724,491		2/9/1988	Lambert	
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		4,805,014		n/a	Sahara, et al.	
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		4,885,803		n/a	Hermann et al.	
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		4,965,819		10-1990	Kannes, Deno	

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		4,965,825		10/23/1990	Harvey, et al.	
		4,975,951		12/4/1990	Bennett	
		4,977,455		12/11/1990	Young	
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		4,997,455		n/a	Young	
		5,001,554		3/19/1991	Johnson et al.	
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		5,010,499		04-1991	Yee, Keen Y.	
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		5,056,138		n/a	Tyson, Sr.	
		5,057,917		10/15/1991	Shalkauser et al.	
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		5,124,980		6/23/1992	Maki	
		5,130,792		7/14/1992	Tindell, et al.	
		5,130,924		7/1992	Barker, et al.	
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		5,233,333		08-1993	Borsuk, Sherwin M.	
		5,235,419		8/10/1993	Krause et al.	
		5,235,619		8/1993	Beyers et al.	

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		5,235,680		8/1993	Bijnagte	
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		5,293,540		3/8/1994	Trani et al.	
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		5,339,091		08-1994	Yamazaki et al.	
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	5,341,474		8/23/1994	Gelman, et al.	
	5,343,239		8/30/1994	Lappington	
	5,343,516		n/a	Callele, et al.	
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				Application Number	09/441,892		
				Filing Date	November 17, 1999		
				First Named Inventor	John S. HENDRICKS et al.		
				Art Unit	3627		
				Examiner Name	Ronald Laneau		
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U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Document Number	Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

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				Examiner Name	Ronald Laneau	
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				First Named Inventor	John S. HENDRICKS et al.
				Art Unit	3627
				Examiner Name	Ronald Laneau
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				Art Unit	3627
				Examiner Name	Ronald Laneau
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